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DANN, DORFMAN, HERRELL AND SKILLMAN

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FAX COVER SHEET

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Filing Receipt Corrections

U.S. Patent and Trademark Office

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(571) 273-8300

DATE:

August 2, 2007

OPERATOR:

Jane C. Bogan

RE:

U.S. Patent Application No. 10/560,670

Confirmation No. 2339

Please add the co-inventor's name and residence to the Official Filing Receipt in connection with the above-referenced patent application. The co-inventor's name and residence should read:

Anthony David Woolhouse, Wellington, NEW ZEALAND

Facsimile transmitted herewith is a marked up copy of the official filing receipt to show the changes, a copy of the three page Declaration submitted January 23, 2007, and a copy of a postcard evidencing receipt of the Declaration by the Patent Office on January 25, 2007.

It is further requested that a new official filing receipt be issued when the Patent and Trademark Office records have been corrected.

In the event the that a fee is required in connection with this submission and not enclosed, the Commissioner is authorized to charge such fee to the account of the undersigned attorneys, Account No. 04-1406. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

215 563-4100 (T)

DANN, DORFMAN, HERRELL AND SKILLMAN

215 563-4044 (F)

Robert C. Netter, Jr., Ph.D. J.D.

PTO Registration No. 56,422

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APPL NO.	FILING OR 371(c) DATE	ARTUNIT	FIL FEE REC'D	ATTY.DOCKET NO	TOT CLMS	IND CLMS
10/560,670	01/25/2007	1752	1030	0074-530346	17	2

110 DANN, DORFMAN, HERRELL & SKILLMAN 1601 MARKET STREET SUITE 2400 PHILADELPHIA, PA 19103-2307 CONFIRMATION NO. 2339
FILING RECEIPT

**OC000000024136365*

Date Mailed: 05/31/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) Anthony David Woolhouse, Wellington, NEW ZEALAND

Andrew John Kay, Wellington, NEW ZEALAND:

Power of Attorney: The patent practitioners associated with Customer Number 110.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/NZ04/00124 06/17/2004

Foreign Applications

NEW ZEALAND 526561 06/18/2003

If Required, Foreign Filing License Granted: 05/30/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/560,670

Projected Publication Date: 09/06/2007

Non-Publication Request: No

Early Publication Request: No

Title

Zwitterionic Non-Linear Optophores And Devices Incorporating Same

Preliminary Class

430

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

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Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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DECLARATION FOR UTILITY OR

DESIGN

PATENT APPLICATION

(37 CFR 1.63)

OR

Declaration

required)

Submitted after initial Filing (surcharge

(37 CFR 1.18 (e))

Declaration

Submitted With Initial

I hereby declare that:

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PTC/SU01 (10.05)
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U.S. Palant and Tradement Office; U.S. DEPARTMENT OF COMMERCE Unifer the Paperwork Reduction Act or 1995, no persons are required to missions to a collection of information unless it contains a valid OMB central number. Attorney Docket 0074-530348 Number First Named Inventor Anthony David Woothouse COMPLETE IF KNOWN Application Number PCT/NZ2004/000124 Filing Date June 17, 2004 Art Unit Not Yet Assigned Examiner Name Not Yot Assigned Each Inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is defined and for which a palent is sought on the invention entitled:
ZWITTERIONIC NON-LINEAR OPTOPHORES AND DEVICES INCORPORATING SAME
(Title of the Invention)
the specification of which
la attached hereto
OR
was filed on (MM/DD/YYYY) 98/17/2004 as United States Application Number or PCT Internstional
Application Number PCT/NZZ004/000124 and was amended on (MM/DD/YYYY) 12/14/2005 (if applicable).
I hereby state that I have reviewed and understand the contents of the above Identified specification, including the claims, as amended by any amendment specifically referred to above.
I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part epplications, material information which became available between the fiting date of the prior application and the national or PCT international filing date of the continuation-in-part application.
I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breader's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breader's rights certificate(s), or any PCT international application having a filing data before that of the application on which priority is dalmed.
Prior Foreign Application Foreign Filing Date Priority Certified Copy Attached? Number(s) Country (MM/DD/YYYY) Not Claimed YES NO
526581 NZ 06/18/2003
Additional foreign application numbers are listed on a supplemental priority data sheet PTO/8B/028 attached hereto.

[Page 1 of 2]
This collection of information is required by 35 U.S.C. 115 and 37 CPR 1.53. The information is required to obtain or main a benefit by the public which is to file (and by the USPTO to process) on application. Confidentially is governed by 35 U.S.C. 122 and 37 CPR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed explication form to the USPTO. Time with vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, chould be sent to the Chief Information Officer, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1460.

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Direct fill correspondence to; Name Address Address Address City Telephone WARNING: Country Telephone WARNING: Petitioner/sppticant is cardianed to svaid submitting personal information in documents filed in a palent application that may contribute to identity theft. Personal information such as social searchy numbers, bank account numbers, or credit card authorization from PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information the documents burdle do the USPTO, petitioner/applicants should consider redacting such personal information from the documents burdle do the USPTO. Petitioner/applicants is addred that the record of a patient application in the application of the application (unless a non-publication request in compliance with 37 CPR 1,213(a) is made in the application is referenced in a putished application may also be available to the public direct application is referenced in a putished application or an issued patient (see 37 CPR 1,14). Checks and credit card authorization forms PTD-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available. I hereby dockere that all statements made herein of my own knowledge are true and that all statements made on information and better are believed to be true; and further that these statements were made with the knowledge that willful false statements may be provide the validity of the application or any patent issued thereon. NAME OF SOLE OR FIRST INVENTOR: A petition has been filed for this unsigned Inventor Femily Name or Summer WOOLHOUSE Inventor's Simpland Mailing Address	DECLARATI	ON — Utility or De	esign Pater	nt Application	1	
Direct fill correspondence to; Name Address Address City Telephone WARNING: Country Telephone WARNING: Petitioner/sppticant is continued to svoid submitting personal Information in documents filed in a patent application that may contribute to identify theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization from PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information the documents before authoritized to the USPTO. Petitioner/applicants should consider redacting such personal information the documents before authoritized to the supplication of the application of the application (unless a non-publication request in compliance with 37 CPR 1,213(a) is made in the application is retretenced in a puthished application may also be available to the public of issuance of a patent. Purthermore, the record from an abandoned application may also be available to the public of its retretenced in a puthished application or an issued patent (see 37 CPR 1,14). Checks and credit card authorization forms PTD-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are purishable by fine or Imprisonment, or both, under 18 U.S.C. 1001 and that such willful foles statements may jeopordize the validity of the application recaver, patent issued thereon. NAME OF SOLE OR FIRST INVENTOR: A petition has been filed for this uneigned Inventor Family Name or Sumame WOOLHOUSE Inventor's Simplant						
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Country Telephone Email meter@ddrs.com WARNING: Petitioner/applicant is could authoriting personal information in documents filed in a patent application that may contribute to identify their. Personal information such as social security numbers, bank account numbers, or credit card contribute to identify their. Personal information such as social security numbers, bank account numbers, or credit card contribute to identify the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to USPTO, petitioner/applicant is advised that the record of a patent application from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is reterenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card submitted from patent publicly available. I hereby deckare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are purchable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. NAME OF SOLE OR FIRST INVENTOR: A petition has been filed for this ungligated inventor Given Name (first and middle (if eny)) Now Zeoland New Zeoland New Zeoland	Address	·				
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Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card authorization from PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioner/applicant is advised that the record of a patent application is available to the public after them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after them to the USPTO. Petitioner/applicant is advised that the record of a patent application is retained in the application request in compliance with 37 CFR 1.213(a) is made in the application or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is retained in the application or an issued patent (see 37 CFR 1.14). Checks and credit early authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopordize the validity of the application or, any patent issued thereon. NAME OF SOLE OR FIRST INVENTOR: Pate Country Cittzenship New Zealand New Zealand		WARNII	NG:			
statements and the like so made are purishable by tine of imprisonment, or but, they are to 0.5.0. Not and statements may jeopardize the validity of the application.or.any.patent issued thereon. NAME OF SOLE OR FIRST INVENTOR: A petition has been filed for this unsigned inventor. Family Name or Surname WOOLHOUSE Residence: City Wollington- Mailing Address	the USPTO to support a petition or an application to the USPTO, petitioner/applicants should or them to the USPTO. Petitioner/applicant is publication of the application (unless a non-pior issuance of a patent. Furthermore, the rapplication is referenced in a published at authorization forms PTO-2038 submitted for publicly available. I hereby declare that all statements made he	retion, it this type or native reducting such advised that the re- polication request in a ecord from an aband polication or an issu- payment purposes a payment purposes as erein of my own known there that these attents.	personal information information personal information personal information	official of the total of the to	the documents available av	ments before submitting flable to the public after made in the application) flable to the public if the Checks and credit card fle and therefore are not miss made on information whedge that willful false
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[Page 2 of 2]

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Inventor's Signature	s fa	n.	7	Dale 16 FE	-B	
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IAPISARC'APSTIPTO 25 JAN 2007

In re the Application of:

Anthony D. Woolhouse, et al.

Appln. No. 10/560,670

Filing Date: December 14, 2005

Intl. Appl. No. PCT/NZ2004/000124

Intl. Filing Date: 17 June 2004

For ZWITTERIONIC NON-LINEAR OPTOPHORES AND DEVICES INCORPORATING SAME The following paper has been received:

Transmittal Sheet w/Date of Mailing (second submission)
Form PTO-1390 Transmittal Letter to the United States
Designated/Elected Office (DO/EO/US Concerning a
Filing Under 35 U.S.C. 371 (in duplicate)
Copy Notification of Missing Requirements...
Form PTO/SB/01 Declaration - 3 pages
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The Patent and Trademark Office is respectfully requested to place its STAMP and the POSTAL CARD and place it in the outgoing mail.

Mailed: January 23, 2007

By: Robert C. Netter, Jr., Ph.D.

Docket: 0074-530346